

# Applied Computer Vision

David Vernon  
Carnegie Mellon University Africa

[vernon@cmu.edu](mailto:vernon@cmu.edu)  
[www.vernon.eu](http://www.vernon.eu)

# Lecture 10

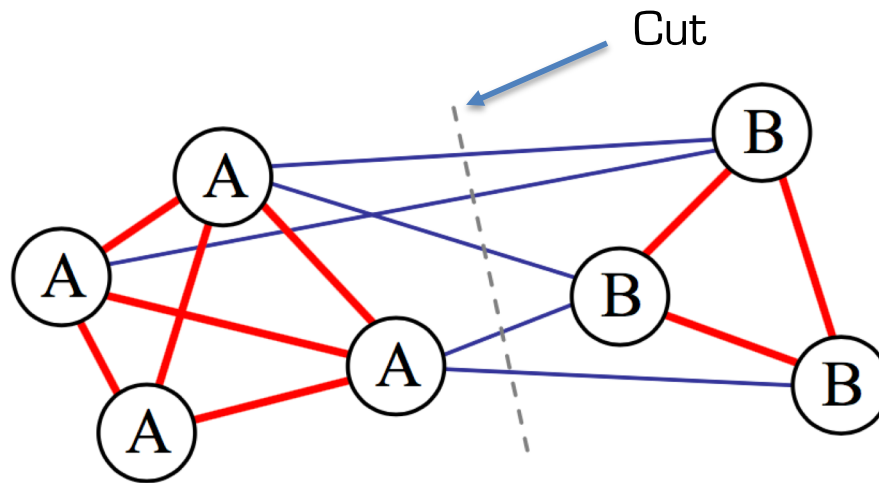
## Segmentation

Region-based Approaches:  
Graph cuts and the GrabCut algorithm



# Graph Cuts

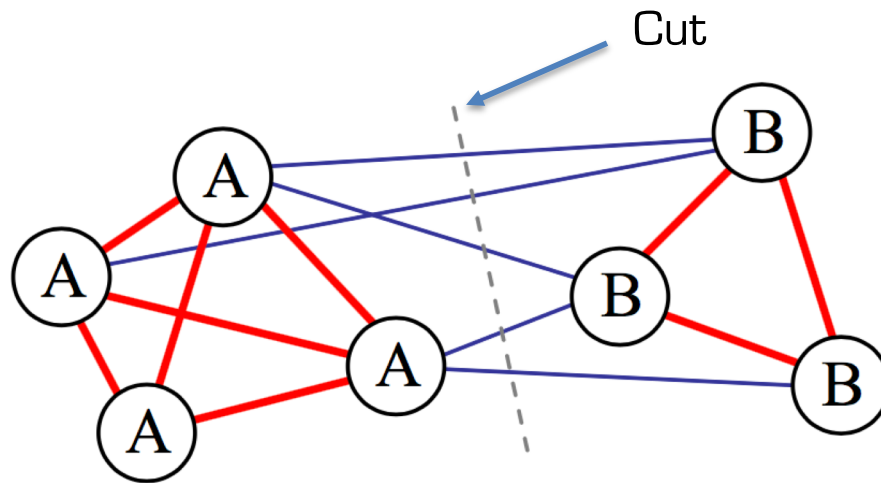
- Image as graph
  - Initially with one connected component  
[there is a path from any pixel to any other pixel]
- Segmentation as a process of finding a **cut** to separate the graph into two or more components



Source: R. Szeliski, *Computer Vision: Algorithms and Applications*, Springer, 2010.

# Graph Cuts

- Identify affinities (similarity) between nearby pixels
- Separate groups that are connected by weak affinities



Source: R. Szeliski, *Computer Vision: Algorithms and Applications*, Springer, 2010.

# Graph Cuts

- The cut between two groups  $A$  and  $B$  where the weights between two pixels (or regions)  $i$  and  $j$  measure their similarity

$$cut(A, B) = \sum_{i \in A, j \in B} w_{ij}$$

- Using a minimum cut as a segmentation criterion usually doesn't result in reasonable clusters ...

The smallest cut usually just isolates a single pixel

Credit: R. Szeliski, *Computer Vision: Algorithms and Applications*, Springer, 2010.

# Graph Cuts


A better measure: **normalized cuts** (Shi and Malik, 2000)

$$Ncut(A, B) = \frac{cut(A, B)}{assoc(A, V)} + \frac{cut(A, B)}{assoc(B, V)}$$

where

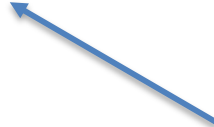
$$assoc(A, A) = \sum_{i \in A, j \in A} w_{ij}$$

Association within a cluster:  
sum of all the weights **within**  
**a cluster A**



$$assoc(A, V) = assoc(A, A) + cut(A, B)$$

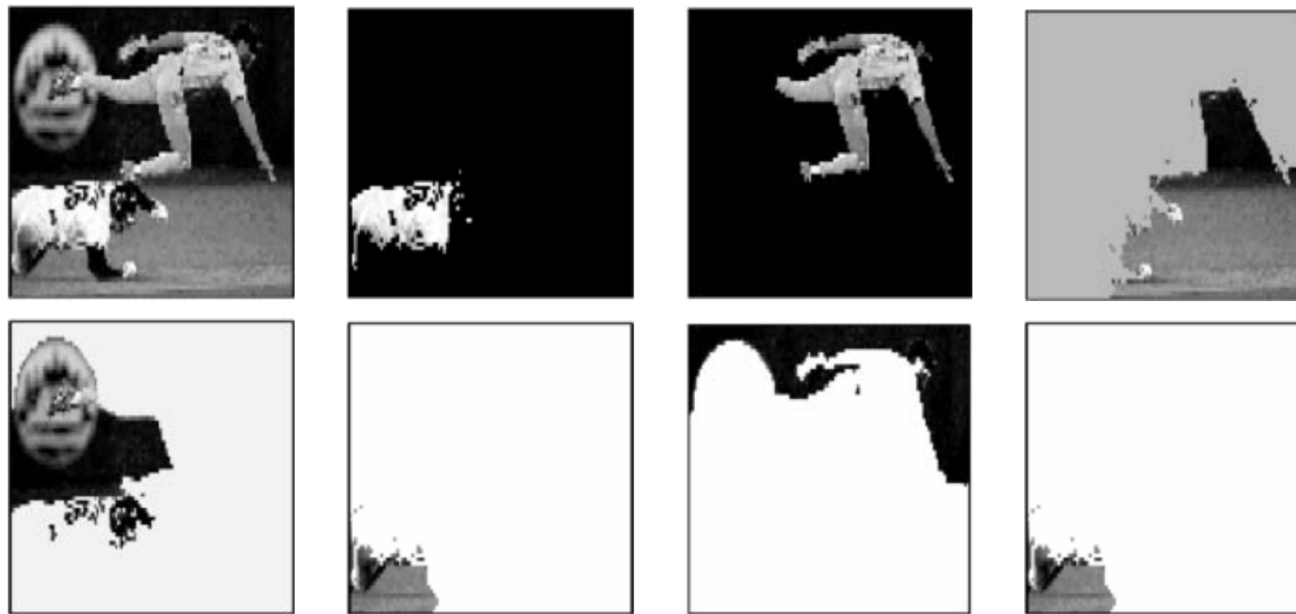
Sum of **all** the weights  
associated with nodes in A



Credit: R. Szeliski, *Computer Vision: Algorithms and Applications*, Springer, 2010.

# Graph Cuts

- Computing the optimal normalized cut is an NP-complete problem
- Shi and Malik (2000) introduced an effective solution by transforming it to a generalized eigenvalue problem



Normalized cuts segmentation (Shi and Malik 2000) © 2000 IEEE

Credit: R. Szeliski, *Computer Vision: Algorithms and Applications*, Springer, 2010.

# Graph Cuts

Segmentation can be formulated as an energy minimization problem, with two different approaches

- Regularization (i.e. variational) techniques (e.g. snakes)
- Techniques based on binary Markov random fields (e.g. graph cut techniques)

Exploit user interaction or external constraints

# Graph Cuts

The energy function is encoded as a maximum flow problem and the minimum cut determines the region boundary

- The **Min-cut** / **Max-flow** problem
- **s/t graph cut** problem
- Solved using standard polynomial-time algorithms
  - Ford Fulkerson 1956
  - More recent optimized approaches: Boykov and Komolgorov 2004

Credit: R. Szeliski, *Computer Vision: Algorithms and Applications*, Springer, 2010.

# Graph Cuts

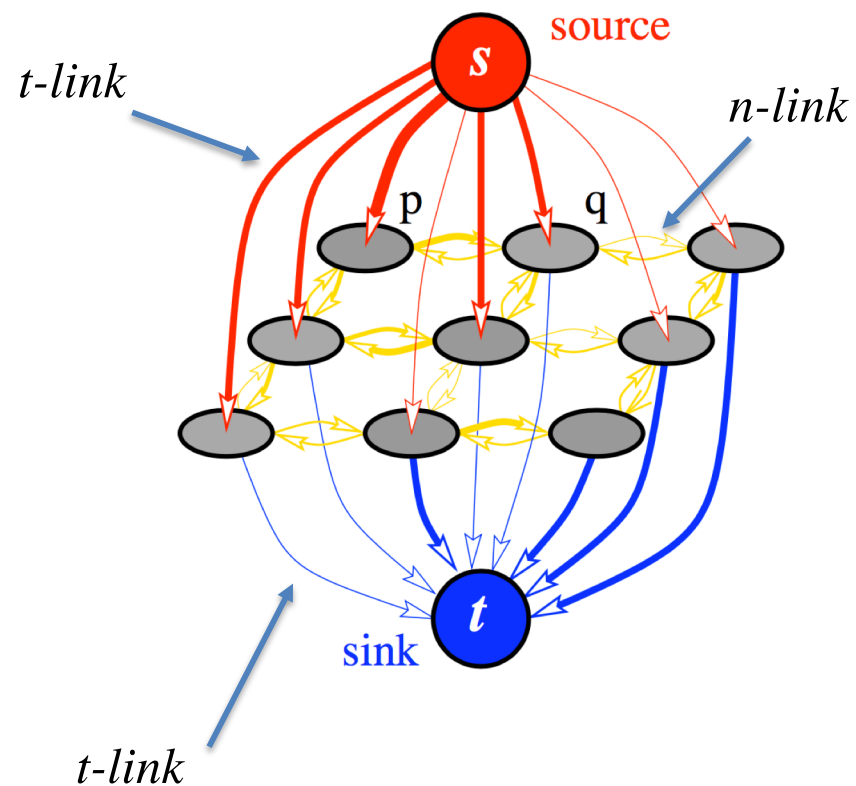
## Basics

- Let  $G = \langle \mathcal{V}, \mathcal{E} \rangle$  be a graph  
which consists of a set of nodes  $\mathcal{V}$  and  
a set of directed edges  $\mathcal{E}$  that connect them
- The node set  $\mathcal{V} = \{s, t\} \cup \mathcal{P}$  contains two special terminal nodes  
source,  $s$   
sink,  $t$   
and a set of non-terminal nodes  $\mathcal{P}$
- Each edge is assigned some nonnegative weight or cost  $w(p, q)$
- The weight of a directed edge  $(p, q)$  may differ from its reverse edge  $(q, p)$

Source: Boykov and Veksler 2006



# Graph Cuts



Edge weights / costs are reflected by thickness

Source: Boykov and Veksler 2006

# Graph Cuts

## Basics

- A set of all (directed)  $n$ -links is denoted  $\mathcal{N}$
- The set of all graph edges  $\mathcal{E}$  consists of  
 $n$ -links in  $\mathcal{N}$  and  
 $t$ -links  $\{(s, p), (p, t)\}$  for all non-terminal nodes  $p \in \mathcal{P}$

Source: Boykov and Veksler 2006

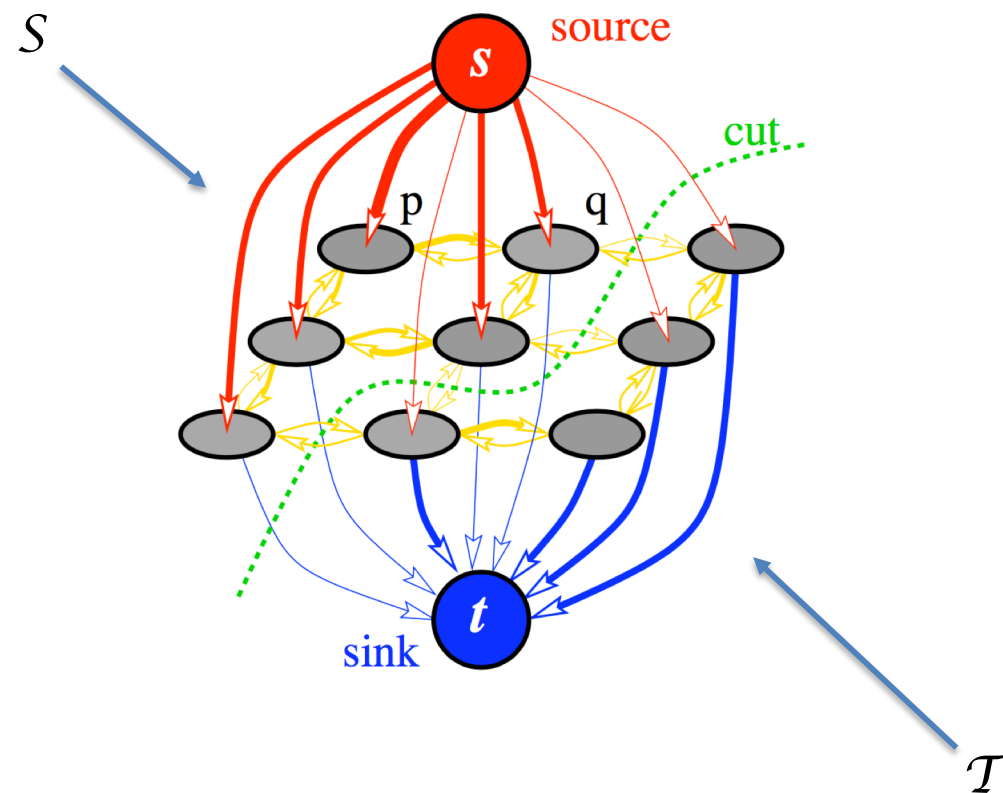
# Graph Cuts

## The Min-Cut and Max-Flow Problem

- An  $s/t$  cut  $C$  is a partitioning of the nodes in the graph into two disjoint subsets  $S$  and  $T$  such that the source  $s$  is in  $S$  and the sink  $t$  is in  $T$

Source: Boykov and Veksler 2006

# Graph Cuts



Source: Boykov and Veksler 2006

# Graph Cuts

## The Min-Cut and Max-Flow Problem

- The cost of a cut  $C = \{S, \mathcal{T}\}$  is the sum of the costs/weights of “boundary” edges  $(p, q)$  such that  $p \in S$  and  $q \in \mathcal{T}$
- If  $(p, q)$  is a boundary edge, we say cut  $C$  severs edge  $(p, q)$
- The minimum cut problem is to find a cut that has the minimum cost among all cuts

Source: Boykov and Veksler 2006

# Graph Cuts

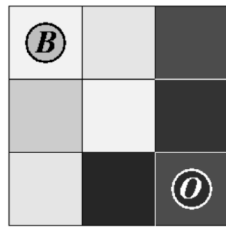
## The Min-Cut and Max-Flow Problem

- A fundamental result in combinatorial optimization is that the minimum  $s/t$  cut problem can be solved by finding the **maximum flow** from the source  $s$  to the sink  $t$
- Thus, the min-cut and max-flow problems are equivalent
- The maximum flow value is equal to the cost of the minimum cut

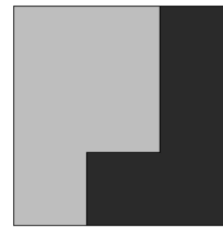
Source: Boykov and Veksler 2006

# Graph Cuts

## Using seeds



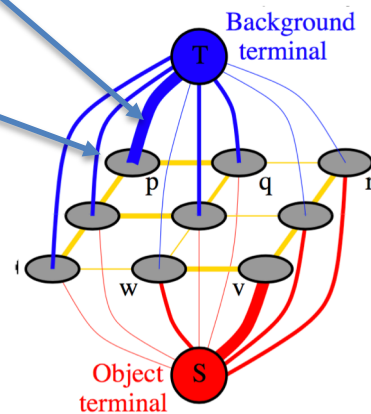
(a) Image with seeds.



(d) Segmentation results.

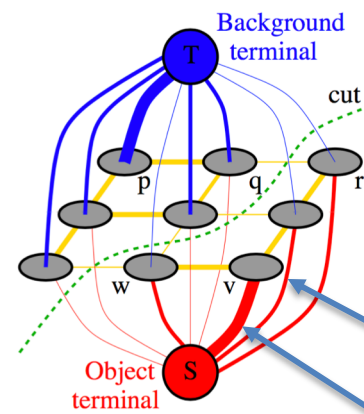
Infinity cost t-link

Background Seed



(b) Graph.

$\Rightarrow$



(c) Cut.

Infinity cost t-link

Edge weights / costs are reflected by thickness

Source: Boykov and Funka-Lea 2006

# Graph Cuts

## Example

- The image is divided into one “object” region and one “background” region
- In general, cuts can generate binary segmentation with arbitrary topological properties
  - Many isolated blobs
  - Many holes



# Graph Cuts

## Goal

- Compute the best cut that would give an “optimal” segmentation
- In combinatorial optimization, the cost of a cut is defined as the sum of the costs of the edges it severs
- Severed n-links are located at the segmentation boundary
  - their total cost represents the cost of the segmentation boundary
- Severed t-links can represent the regional properties of segments
- Thus, a minimum cost cut may correspond to a segmentation with desirable balance of boundary and regional properties
- Infinity cost t-links make it possible to impose **hard constraints** on segments

# Graph Cuts

## Segmentation Energy

- Consider an arbitrary set of pixels  $\mathcal{P}$  and some neighbourhood system represented by a set  $\mathcal{N}$  of all (unordered) pairs  $\{p, q\}$  of neighbourhood elements in  $\mathcal{P}$
- Let  $A = (A_1, \dots, A_p, \dots, A_{|\mathcal{P}|})$  be a binary vector whose components  $A_p$  specify assignments to pixels  $p$  in  $\mathcal{P}$
- Each  $A_p$  can be either “obj” or “bkg” (object or background)
- Vector  $A$  defines a segmentation

# Graph Cuts

## Segmentation Energy

**Soft constraints** on boundary & region properties of  $A$  are given by cost function  $E(A)$ :

$\lambda \geq 0$  specifies relative importance of regional properties

$$E(A) = \lambda \cdot R(A) + B(A)$$

where

$$R(A) = \sum_{p \in \mathcal{P}} R_p(A_p)$$

**Regional term:** assumes the individual penalties for assigning pixel  $p$  to object and background are given:  $R_p(\text{"obj"})$  and  $R_p(\text{"bkg"})$

$$B(A) = \sum_{\{p,q\} \in \mathcal{N}} B_{p,q} \cdot \delta_{A_p \neq A_q}$$

**Boundary term:**  $B_{p,q} \geq 0$  is a penalty for discontinuity between  $p$  and  $q$

and

$$\delta_{A_p \neq A_q} = \begin{cases} 1 & \text{if } A_p \neq A_q \\ 0 & \text{if } A_p = A_q \end{cases}$$

$B_{p,q}$  cost is zero if  $p$  and  $q$  are part of the same region

# Graph Cuts

## Segmentation Energy

**Regional term**  $R_p(\cdot)$  might reflect how the intensity of pixel  $p$  fits into given intensity models (e.g. histograms) of the object and background:

$$R_p(\text{"obj"}) = -\ln \Pr(I_p | \text{"obj"})$$

Minus the log of the probability that image intensity of  $p$  occurs, given that it is an object pixel

$$R_p(\text{"bkg"}) = -\ln \Pr(I_p | \text{"bkg"})$$

Minus the log of the probability that image intensity of  $p$  occurs, given that it is an background pixel

Note: the use of negative log-likelihoods is motivated by the Maximum A Posterior estimation of a Markov Random Field (MAP-MRF) formulation in the original approach by [Greig et al. 1989]; see Szeliski 2010, Section 3.7.2

# Graph Cuts

## Segmentation Energy

**Boundary term**  $B(A)$  is the penalty for a discontinuity between  $p$  and  $q$

$B_{p,q}$  is **large** when pixels  $p$  and  $q$  are **similar** (e.g. in intensity)

$B_{p,q}$  is **small** when pixels  $p$  and  $q$  are very **different**

$B_{p,q}$  can also **decrease** as a function of **distance** between  $p$  and  $q$

Costs  $B_{p,q}$  may be based on local intensity gradient, Laplacian zero-crossing, gradient direction, or other criteria.

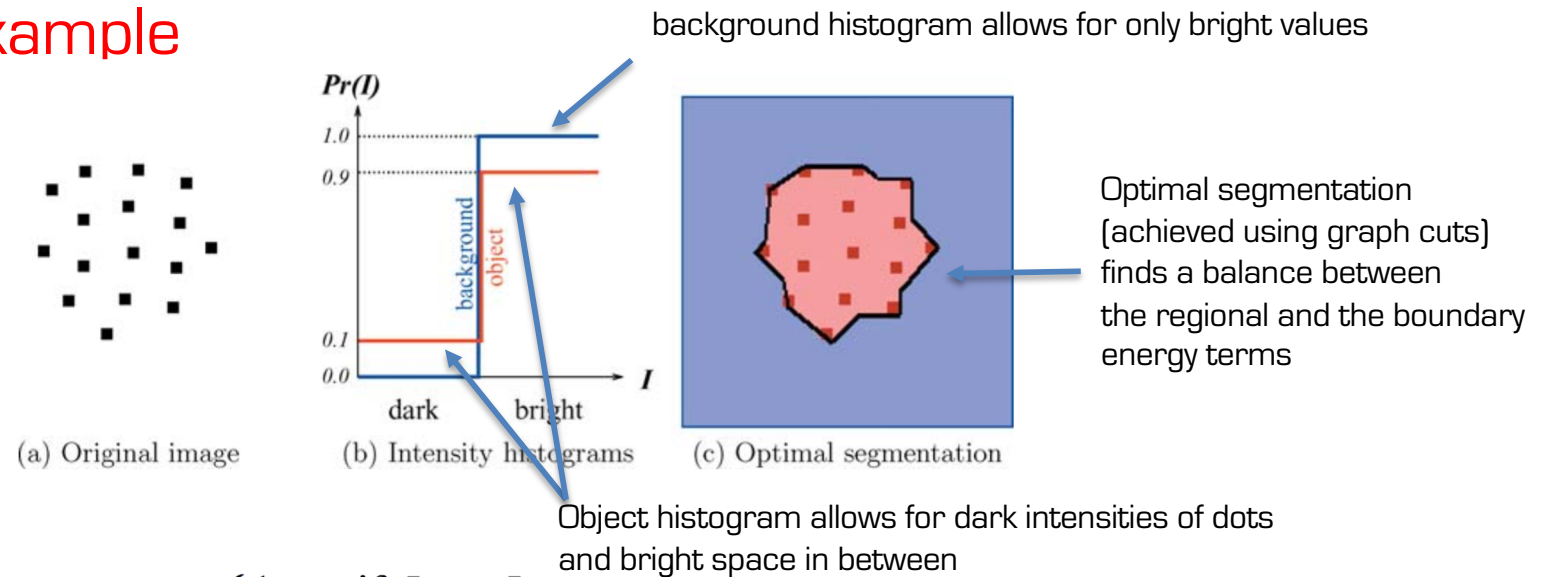
Example function

$$B_{p,q} \propto \exp\left(-\frac{(I_p - I_q)^2}{2\sigma^2}\right) \cdot \frac{1}{\text{dist}(p, q)}$$

Big penalty/cost when  $|I_p - I_q| < \sigma$   
Small penalty/cost when  $|I_p - I_q| > \sigma$

# Graph Cuts

## Synthetic Example



Boundary Term:

$$B_{p,q} = \begin{cases} 1 & \text{if } I_p = I_q \\ 0.2 & \text{if } I_p \neq I_q \end{cases}$$

Regional Term: Assume the *a priori* known intensity histograms in fig. (b) above

Using these histograms in the negative log-likelihood formulae we get the regional penalties  $R_p(A_p)$  for pixels with different intensities

$I_p$	$R_p(\text{"obj"})$	$R_p(\text{"bkg"})$
dark	2.3	$+\infty$
bright	0.1	0

Source: Boykov and Funka-Lea 2006

# Graph Cuts

## Synthetic Example

Assign weights to all  
n-links  
and t-links

edge	weight (cost)	for
$\{p, q\}$	$B_{p,q}$	$\{p, q\} \in \mathcal{N}$
$\{p, S\}$	$\lambda \cdot R_p(\text{"bkg"})$	$p \in \mathcal{P}, p \notin \mathcal{O} \cup \mathcal{B}$
	$K$	$p \in \mathcal{O}$
	$0$	$p \in \mathcal{B}$
$\{p, T\}$	$\lambda \cdot R_p(\text{"obj"})$	$p \in \mathcal{P}, p \notin \mathcal{O} \cup \mathcal{B}$
	$0$	$p \in \mathcal{O}$
	$K$	$p \in \mathcal{B}$

Maximum of  
sum of boundary costs  
for all neighbours of  $p$

where

$$K = 1 + \max_{p \in \mathcal{P}} \sum_{q: \{p,q\} \in \mathcal{N}} B_{p,q}.$$

Source: Boykov and Funka-Lea 2006

# Graph Cuts

## Synthetic Example

- Find the minimum cost cut  $C_{min}$  using a standard algorithm
  - e.g. based on the Ford Fulkerson method
- Determine the segmentation  $A(C) = (A_1, ..., A_p, ..., A_{|P|})$

$$A_p(C) = \begin{cases} \text{“obj”}, & \text{if } \{p, T\} \in C \\ \text{“bkg”}, & \text{if } \{p, S\} \in C \end{cases}$$



# Graph Cuts

## The Min-Cut and Max-Flow Problem

- There are many standard polynomial time algorithms for min-cut/max-flow
- The Ford Fulkerson method is perhaps the best known
- For grid graphs, such as we have in images, Boykov and Komolgorov developed a fast algorithm which has a linear time complexity
  - While the Boykov and Komolgorov algorithm is very efficient (few seconds on typical images) it is far from real-time

Source: Boykov and Veksler 2006

# Graph Cuts

## Hard Constraints

- Objects may not have sufficiently distinct regional properties to impose adequate constraints on the segmentation
- We constrain the search space of possible solutions
- This is done by providing hard constraints:
  - identifying a set of pixels [seeds] that belongs to the object  $\mathcal{O}$
  - identifying a set of pixels [seeds] that belong to the background  $\mathcal{B}$

$$\forall p \in \mathcal{O} : A_p = \text{“obj”}$$

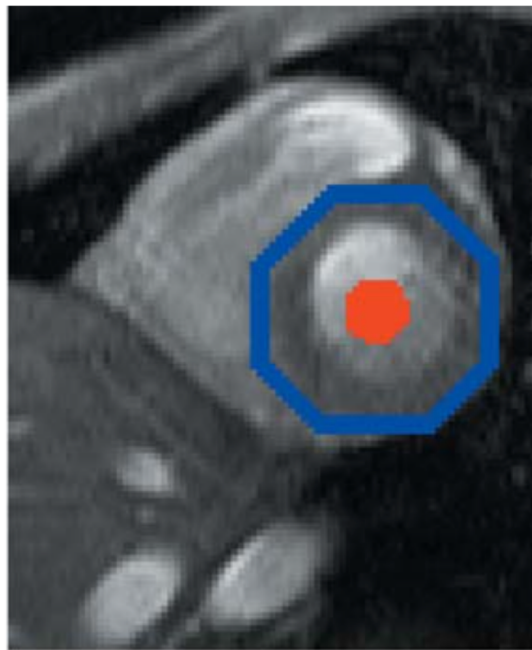
$$\forall p \in \mathcal{B} : A_p = \text{“bkg”}$$

# Graph Cuts

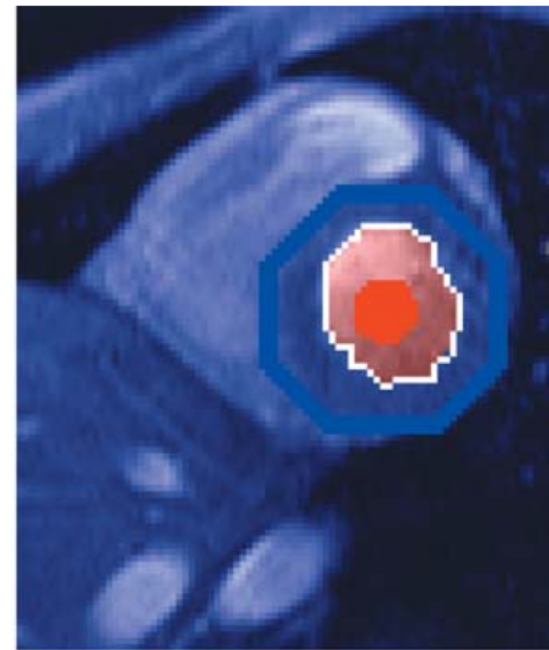
## Hard Constraints



(a) Original image



(b) Initialization



(c) Segmentation

$$\forall p \in \mathcal{O} : A_p = \text{"obj"}$$

$$\forall p \in \mathcal{B} : A_p = \text{"bkg"}$$

# Graph Cuts

## Hard Constraints

- Intensities of pixels marked as seeds can also be used to learn the histograms for “object” and “background” intensity distributions:

$$\Pr(I_p | \text{“obj”})$$

$$\Pr(I_p | \text{“bkg”})$$

# Graph Cuts



(a) A woman from a village



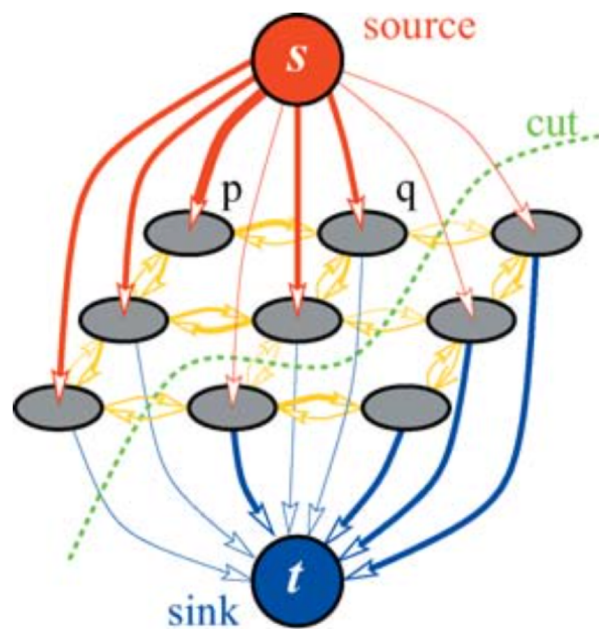
(b) A church in Mozhaisk (near Moscow)

Source: Boykov and Funka-Lea 2006

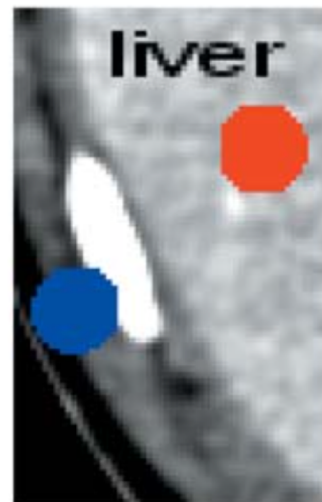


# Graph Cuts

## Graph cuts with directed graphs



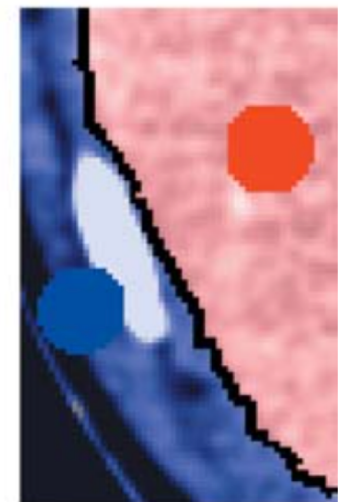
(a) directed graph



(b) image



(c) undir. result



(d) dir. result

Source: Boykov and Funka-Lea 2006

# Graph Cuts

## Graph cuts with directed graphs

- The weights of the directed edges  $(p, q)$  can depend on the sign of the intensity difference  $(I_p - I_q)$
- Undirected edges depend only on the absolute value  $|I_p - I_q|$
- See [Boykov and Funka-Lea 2006] for details

# Graph Cuts

## GrabCut

- Extension of the basic Boykov & Jolly (2001) technique by Rother, Komolgorov, and Blake (2004)
- Iteratively re-estimates the region statistics
- Region statistics are modelled as a mixture of Gaussians in colour space



# Graph Cuts

## GrabCut

This allows the approach to operate with minimal user input

- Single bounding box
- The background colour model
  - initialized from a strip of pixels around the box outline
- The foreground colour model
  - initialized from the interior pixels
  - quickly converges to a better estimate of the object

# Graph Cuts

## GrabCut



Source: Rother, Komolgorov, Blake 2004

# Graph Cuts

GrabCut



Source: Rother, Komolgorov, Blake 2004

# Graph Cuts

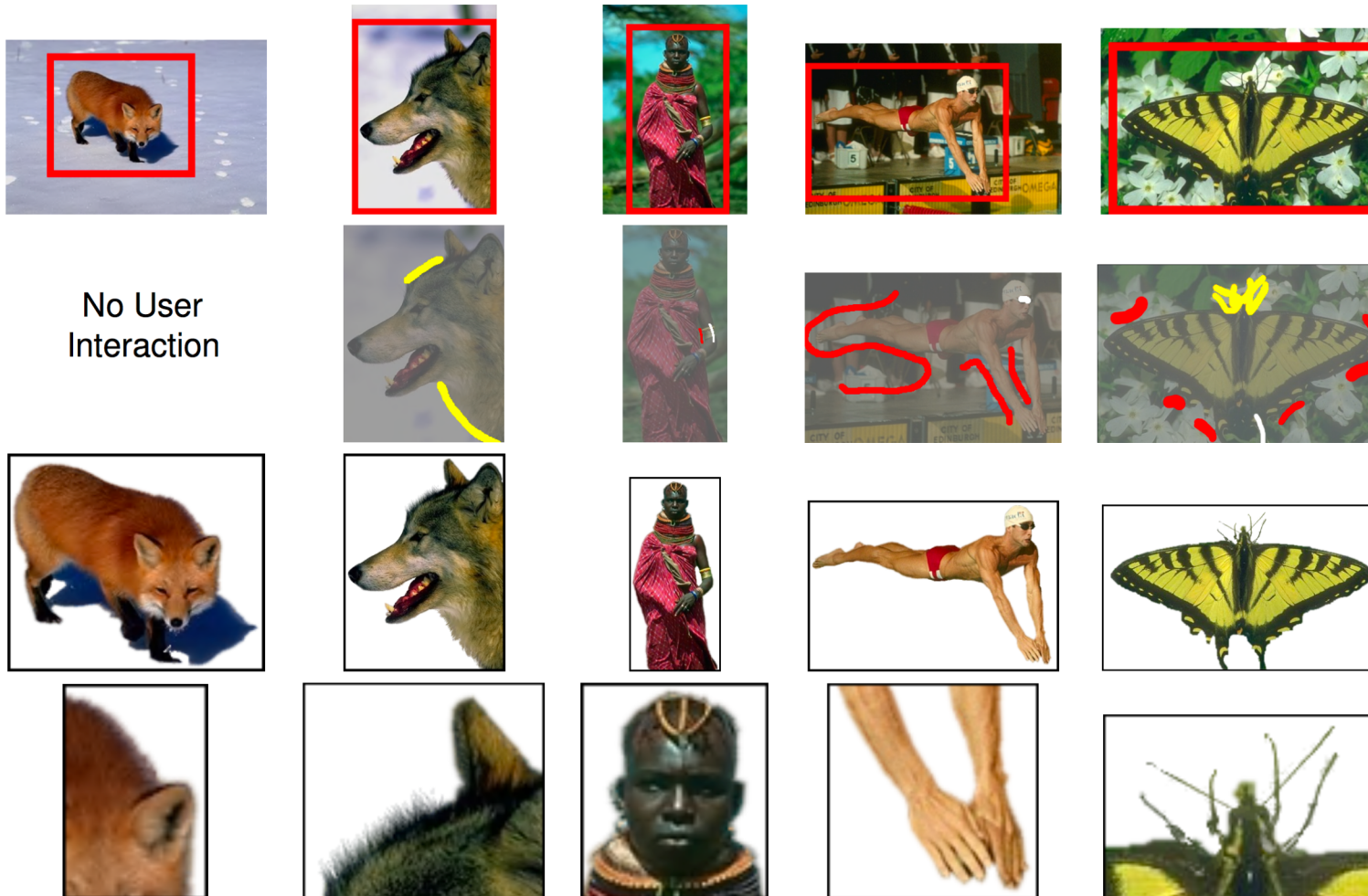
## GrabCut



Source: Rother, Komolgorov, Blake 2004

# Graph Cuts

## GrabCut



Source: Rother, Komolgorov, Blake 2004

# Demos

The following code is taken from the **grabCut** project  
in the lectures directory of the ACV repository

See:

`grabCut.h`

`grabCutImplementation.cpp`

`grabCutApplication.cpp`



```

/*
 * function grabCut
 * Trackbar callback - number of iterations user input
 */

void performGrabCut(int, void*) {

    extern Mat    inputImage;
    extern int    numberOfIterations;
    extern int    number_of_control_points;
    extern char*  grabcut_window_name;
    Mat result;    // segmentation result
    Mat bgModel, fgModel; // the models (hard constraints)

    if (numberOfIterations < 1) // the trackbar has a lower value of 0 which is invalid
        numberOfIterations = 1;

    /* get two control points (top left and bottom right) and rectangle */
    do {
        waitKey(30);
    } while (number_of_control_points < 2);

    /* GrabCut segmentation */
    /* see: http://docs.opencv.org/2.4/modules/imgproc/doc/miscellaneous\_transformations.html#grabcut */
    grabCut(inputImage, // input image
            result,      // segmentation result (4 values); can also be used as an input mask providing constraints
            rect,        // rectangle containing foreground
            bgModel, fgModel, // for internal use ... allows continuation of iterative solution on subsequent calls
            numberOfIterations, // number of iterations
            GC_INIT_WITH_RECT); // use rectangle

    /* Get the pixels marked as likely foreground */
    compare(result, GC_PR_FGD, result, CMP_EQ);

    /* Generate output image */
    Mat foreground(inputImage.size(), CV_8UC3, cv::Scalar(255, 255, 255));
    inputImage.copyTo(foreground, result); // use result to mask out the background pixels

    imshow(grabcut_window_name, foreground);
}

```

# Reading

R. Szeliski, *Computer Vision: Algorithms and Applications*, Springer, 2010.

Section 5.4 Normalized cuts

Section 5.5 Graph cuts and energy-based methods

Section 3.7 Global optimization

Section 3.7.1 Regularization

Section 3.7.2 Markov random fields



# Reading

## s/t graph cuts and overview of energy-based graph cut methods

Boykov, Y. and Jolly, M.-P. 2001. “Interactive graph cuts for optimal boundary and region segmentation of objects in N-D images”, Proc. International Conference on Computer Vision, Vol. I, pp. 105-112.

Boykov, Y. and Funka-Lea, G. 2006. “Graph Cuts and Efficient N-D Image Segmentation”, International Journal of Computer Vision 70(2), 109–131.

## Summary of min-cut approaches in computer vision

Boykov, Y. and Veksler, O. 2006. “Graph Cuts in Vision and Graphics: Theories and Applications”, in Handbook of Mathematical Models of Computer Vision, Paragios, N., Chen, Y., Faugeras, O. D. (eds.), Springer, pp. 79-96.

## GrabCut

Rother, C., Kolmogorov, V., and Blake, A. 2004. “GrabCut – Interactive Foreground Extraction using Iterated Graph Cuts, ACM Transactions on Graphics.